

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/863,593
Filing Date	May 23, 2001
First Named Inventor	Parag Pruthi
Art Unit	2663
Examiner Name	Duc T. Duong
Attorney Docket No.	NIK-100US (Formerly 38731-1US (180498))

SHEET 1 of 3

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
DD		US-5,521,907	05/28/1996	Ennis, Jr. et al.	
DD		US-5,790,605	08/04/1998	Helm et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
DD	1.	GB 2 300 789 A	11/13/1996	General DataComm Advanced Research Centre Limited		<input type="checkbox"/>
DD	2.	EP 0 544 454 A2	06/02/1993	Cray Communications Limited		<input type="checkbox"/>
DD	3.	JP 09 046391 (Abstract Only)	02/14/1997	Nipon Telegr & Teleph Corp.		<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner  
Signature

Duc Duong

Date  
Considered

4/13/06

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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SHEET 2 of 3

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
DD	A.	IP Australia - Examiner's first report for Application 23487/00 dated August 7, 2002.	<input type="checkbox"/>
DD	B.	IP Australia - Examiner's Report No. 2 for Application 23487/00 dated May 6, 2004.	<input type="checkbox"/>
DD	C.	Office Action of Canadian Intellectual Property Office for Application 2,351,175 dated October 14, 2004	<input type="checkbox"/>
DD	D.	First Office Action of Chinese Patent Office for Application 99813560.7. English version attached.	<input type="checkbox"/>
DD	E.	Second Office Action of Chinese Patent Office for Application 99813560.7 dated November 12, 2004. English version attached	<input type="checkbox"/>
DD	F.	Official Action of Finland National Board of Patents and Registration for Application 20011009 dated May 18, 2004. English translation attached.	<input type="checkbox"/>
DD	G.	Examination Report for Application GB 0112566.5 dated March 21, 2003.	<input type="checkbox"/>
DD	H.	Examination Report for Application GB 0112566.5 dated November 10, 2003.	<input type="checkbox"/>
DD	I.	First Examination Report of Intellectual Property India for Application IN/PCT/2001/00504 dated January 13, 2004.	<input type="checkbox"/>
DD	J.	Second Examination Report of Intellectual Property India for Application IN/PCT/2001/00504 dated December 20, 2004.	<input type="checkbox"/>
DD	K.	Notification of Reason For Rejection of Japan Patent Office for Application 2000-584679 dated March 23, 2004. English translation attached.	<input type="checkbox"/>
DD	L.	Notification of Reason For Rejection of Japan Patent Office for Application 2000-584679 dated November 9, 2004. English translation attached.	<input type="checkbox"/>
DD	M.	Office Action of Swedish Patent and Registration Office for Application 0101745-8 dated June 13, 2003. English translation attached.	<input type="checkbox"/>
DD	N.	Second Office Action of Swedish Patent and Registration Office for Application 0101745-8. English translation only.	<input type="checkbox"/>

Examiner Signature	<i>Duc Duong</i>	Date Considered	4/3/06
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SHEET 3 of 3

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
DD	O.	Official letter of Swedish Patent and Registration Office for Application 0501119-2 dated June 22, 2005. English translation attached.	<input type="checkbox"/>
DD	P.	International Search Report for application PCT/US99/27969 dated April 5, 2000.	<input type="checkbox"/>
DD	Q.	International Preliminary Examination Report for application PCT/US99/27969 dated January 15, 2001.	<input type="checkbox"/>
DD	R.	International Search Report for application PCT/US01/15601 dated August 29, 2001.	<input type="checkbox"/>
DD	S.	International Preliminary Examination Report for application PCT/US01/15601 dated December 30, 2002.	<input type="checkbox"/>
DD	T.	Supplementary Partial European Search Report for application no. 99967146.4 dated May 13, 2005.	<input type="checkbox"/>
DD	U.	Supplementary European Search Report for application no. 99967146.4 dated August 16, 2005.	<input type="checkbox"/>
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Examiner Signature	Duc Duong	Date Considered	4/3/06
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Substitute for Form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

**SHEET 1 of 2**

**Complete if Known**

<b>Application Number</b>	09/883,593
<b>Filing Date</b>	May 23, 2001
<b>First Named Inventor</b>	Parag Pruthi
<b>Art Unit</b>	2663
<b>Examiner Name</b>	Duc T. Duong
<b>Attorney Docket No.</b>	NIK-100US (Formerly 38731-1US (180498))

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)				
DD	1.	JP 7 321783 (English Abstract & Partial English Translation)	12/08/1995	Mineaki Yokoyama		<input type="checkbox"/>
DD	2.	JP 5 227218 (English Abstract & Partial English Translation)	09/03/1993	Yasushi Kaji		<input type="checkbox"/>
DD	3.	JP 3-212040 (English Abstract & Partial English Translation)	09/17/1991	Chika Ikeda		<input type="checkbox"/>
						<input type="checkbox"/>

Examiner Signature	Duc Duong	Date Considered	4/3/06
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<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

\*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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(AW 08/03)

Substitute for Form 1449B/PTO

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Application Number	09/883,593
Filing Date	May 23, 2001
First Named Inventor	Parag Pruthi
Art Unit	2663
Examiner Name	Duc T. Duong
Attorney Docket No.	NIK-100US (Formerly 38731-1US (180498))

SHEET 2 of 2

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
DD	A.	MITSURU ONODERA, "LAN Analyzer for Monitoring PC LAN", Computer & Network LAN, February 1, 1994, pp. 47-51, Vol. 8, No. 2. (Partial Translation)	<input type="checkbox"/>
DD	B.	TOSHIMASA TANABE, "Software for Analyzing LAN for PC, Different Applications, Differences in Operations", Nikkei Communications, August 2, 1995, pp. 70-77, Vol. 155. (Partial Translation)	<input type="checkbox"/>
DD	C.	YUKARI ODA, "net@nt LAN Analyzer Software with Many Available Support Protocols", LAN TIMES, February 1, 1998, pp. 127-130, Vol. 8, No. 2. (Partial Translation)	<input type="checkbox"/>
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SUBSTITUTE FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO.  38731-001 US (180498)	SERIAL NO.  09/863,593
<b>INFORMATION DISCLOSURE CITATION</b> 				APPLICANT: Parag Pruthi, et al.	
				FILING DATE May 23, 2001	GROUP 2661

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
DD	AA	5,450,408	9/12/95	Phaal			
DD	AB	5,615,323	3/25/97	Engel, et al.			
DD	AC	5,761,191	6/2/98	VanDevort, et al			
DD	AD	5,787,253	7/28/98	McCreery, et al.			
DD	AE	5,812,528	9/22/98	VanDervort			
DD	AF	5,850,386	12/15/98	Anderson, et al.			
DD	AG	5,867,483	2/2/99	Ennis, Jr., et al.			
DD	AH	5,878,420	3/2/99	de la Salle			
DD	AI	5,905,736	5/18/99	Ronen, et al.			
DD	AJ	5,991,881	11/23/99	Conklin, et al.			
DD	AK	6,006,264	12/21/99	Colby, et al.			
DD	AL	6,085,243	7/4/00	Fletcher, et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
DD	AM	EP 0477448 B1	7/12/95	EPO			Yes	
DD	AN	WO 01/01272 A2	1/4/01	PCT			Yes	
DD	AO	CN 1173085A	2/11/98	China			Priority Document Enclosed - U.S. Patent No. 5,734,962	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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DD		Tomohiko Ogishi et al., "Implementation of Performance Monitor for Internet and Examples of its Analysis", IEICE Technical Report, SSE98-97 IN98-78 (the Institute of Electronics, Information and Communication Engineers, September 25, 1998).	<input checked="" type="checkbox"/>
DD		Takayuki Kushida et al., "The Traffic Analysis on the Internet", Multimedia Communication and Dispersion Processing, 96-DPS-75-3 (Information Processing Society of Japan, Feb. 29, 1996).	<input checked="" type="checkbox"/>
DD		Remote LAN Monitor System "MONSTRE", Computer & Network LAN, Vol. 15, No. 8, pp 8-10 (Ohmsha, August 1, 1997).	<input checked="" type="checkbox"/>
DD		Japanese Patent Office - Decision of Refusal dated September 27, 2005.	<input checked="" type="checkbox"/>
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